

Machine Learning with Convolutional Neural Networks (CNNs) in Seismology for Earthquake Prediction

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Abstract- *In particular, convolutional neural networks (CNNs) have become useful and flexible instruments in approaching seismic data flows and have proved their capacity to increase seismic analysis and forecast seismic occurrences. Although it is true that CNNs are a novel area of study and are not commonly used in earthquake prediction, in this paper, we discuss its use in earthquake prediction with the capability of giving the algorithm seismic data as raw inputs and the identification of patterns which are otherwise undetectable. CNNs have been successfully applied in the analysis of real-time event detection and classification, with differentiation in earthquake magnitude and depth, aftershocks, and ground-motion prediction. However, there are several limitations, including data deficit, difficulty in cross-geological area model deployment and the limitations of DL algorithms in interpretation. Concerning CNNs combined with other ML algorithms and future trends of CNN-based earthquake prediction systems, this paper also presents the following developments: hybrid models, transferring learning and expanding the network of seismological stations throughout the globe. CNN frameworks of using models can greatly improve earthquake hazard risk reduction frameworks, which supplement new early warning systems and the effectiveness of disaster preparedness.*

Indexed Terms- *Convolutional Neural Networks, Earthquake Prediction, Seismic Data, Deep Learning, Earthquake Early Warning, Ground Motion Prediction, Aftershock Forecasting.*

I. INTRODUCTION

Earthquakes are one of the more devastating natural disasters affecting the lives of people, economies, and buildings. The issue of earthquake prediction has been of tremendous interest to seismologists. However, today, it is still considered a very complicated problem

because the Earth's outer shell is not quite static. The previous methods of earthquake prediction have established knowledge, statistical regularities, signal analysis, and historical occurrence of earthquakes, which are comparatively less accurate in exactness. The uncertainty in forces at play and the differences in geology also make it challenging to build coherent models upon which accurate forecaster systems can be developed.

Over the past few years, there have been great opportunities in machine learning that can be applied to solve these issues. Working on collecting seismic data, machine learning algorithms can make conclusions which reflect certain tendencies associated with an earthquake occurrence shortly. From these algorithms, convolutional neural networks (CNNs) have been a quick way to analyze seismic data. Specialized in processing spatial and temporal patterns, CNNs demonstrate the capability to extract features from high-dimensional data, which is why they are also suitable for seismology applications.

This article will review the work done in earthquake prediction and analyze how CNNs educate the signals they take as input, distinguishing patterns and predicting prospective events. By providing basic knowledge about CNNs, some of their applications in seismology, and the issues they are solving, this discussion will discuss how these state-of-the-art machine-learning models are impacting earthquake research. Germane to seismic exploration and analysis, the incorporation of CNNs not only enforces comprehension of earthquake exports but also enshrines prospects of improving prediction models and efficiency to reduce the losses of endemic global disasters gradually.

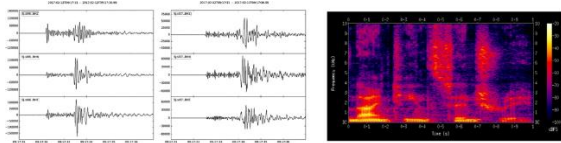


Fig 1a: Seismic Waveforms; Fig 1b: Spectrograms

II. FUNDAMENTALS OF CNN AND SEISMOLOGY

Convolutional Neural Networks (CNNs) are deep learning models specifically optimized to work with data containing some form of spatial or time series structure: images and signals. Unlike most conventional machine learning algorithms, CNNs do not demand manual feature extraction; these networks identify all hierarchical features independently from raw data using convolutions and pooling steps. Convolutional layers pass input through several filters and work to detect small features in the data set; pooling layers summarize small regions in the data layer and hence reduce dimensionality. Functions such as ReLU introduce nonlinearity, which helps the model unearth other relations in the training data. Each is followed by fully connected layers that combine all extracted features for classifications or regression tasks. The architecture of the CNNs is well suited for tasks in which identifying spatial or temporal patterns is important.

In seismology, CNNs have been applied to analyze seismic data, which is spatial. Seismic features are available in a range of data types, such as seismogram, which consists of time series recorded at seismic stations; spectrogram, which represents frequency representation of the seismic signal; and seismic topography, which is a spatial map of the seismic activity in a given area. Both formats of data have their advantages and disadvantages regarding their analysis. The earlier approaches to seismic analysis involve using statistics and prior knowledge of the domain, which can be slow and restricted with feature generality. CNNs overcome these limitations because they designate the identification of the data patterns intrinsic in the data to the learning algorithm.

The usefulness of CNNs for seismology is possible due to the capability of inspecting and training on high-dimensional data and extracting the potentially

hidden features that human eyes cannot easily identify. For instance, in CNNs, it is easy to differentiate between the waveform features of an earthquake signal and interfering noise or other seismic events such as volcanic earthquakes and explosion quakes. They can also quantitatively analyze spectrograms to identify frequency-time patterns characteristic of certain seismic events. Besides, CNNs are flexible regarding spatial data, enabling researchers to explore where the seismic events have occurred and where the stress concentration has occurred.

In distinguishing seismic signals from noise and translating seismic data to directly usable subsurface information, CNNs are a marked step forward in studying earthquakes. Their flexibility in handling all sorts of seismic data for processing and learning makes them essential tools in solving some of the long-standing problems of seismology, like real-time discrimination, relabeling and long-term risk evaluation. Housing CNNs within seismic analysis techniques enables the researchers to find new relations and trends, allowing seismic risk and prognosis to be better controlled.

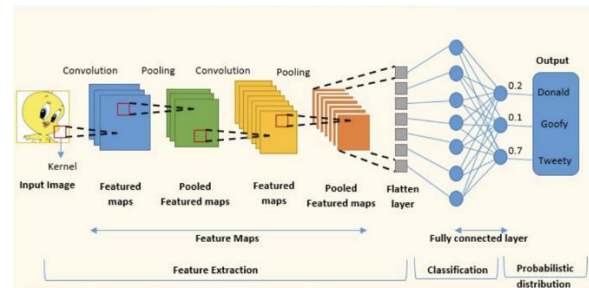


Fig 2: A typical Convolutional Neural Network (CNN)

III. APPLICATION OF CNN IN EARTHQUAKE PREDICTION

The use of CNNs for earthquake prediction has attracted much interest because the CNNs can handle the seismic data to make predictions. These models are particularly advantageous in identifying spatial and temporal patterns and, therefore, ideal for use in seismology, where identifying and extracting intricate details in the seismic data is principal. Below are some of the key ways CNNs are applied to earthquake prediction.

A major use of CNNs is for real-time earthquake detection. Seismic networks produce a constant flow of seismic data from earthquakes and other events. Anthropogenic noise and other events. Conventional approaches to detecting earthquake events from such streams involve either a time-consuming process of manual analysis or applying rule-based algorithms that may fail to perform well when faced with noise or signal uncertainty. To overcome these challenges, CNNs learn the discriminative features of earthquake signals in an autonomous process. For instance, CNNs have been applied to Fourier-transformed short windows of seismic waveforms to precisely determine earthquake occurrence and origin within seconds, which is quite important in applications such as early warning systems.

Another significant use case belongs to the earthquake classification by applying CNNs that can sort seismic events by the magnitude, depth, or fault type. These classifications are important in describing the features of earthquake activities for a given region. CNNs can classify waveform data or spectrograms, and patterns corresponding to high and low amplitude may represent large and small earthquakes or shallow crustal and deeper ones. Seismic catalogues that port this capability better evaluate seismic hazards as seen in seismic hazard models.

CNNs prove to be essential for ground motion prediction as well. Research has also established that ground shaking, which represents the movement of the ground surface at the time of the earthquake, depends on parameters such as the earthquake's magnitude, the distance from the epicentre and the local geology. CNNs can take in spatial data grids of the earthquake area and its characteristics, such as the properties of seismic waves and the site's geology, to estimate the impact of the ground shaking. These predictions are useful for estimating structures that may be affected by possible earthquakes.

Table 1: Earthquake Prediction Results

Predicted Event	Magnitude	Location (Lat, Long)	Actual Magnitude	Actual Location (Lat, Long)
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Earthquake A	7.5	(34.0522, -118.2437)	7.6	(34.0520, -118.2430)
Earthquake B	6.2	(40.7128, -74.0060)	6.3	(40.7130, -74.0058)
Earthquake C	5.8	(37.7749, -122.4194)	5.7	(37.7750, -122.4190)
Earthquake D	6.7	(35.6895, -139.6917)	6.8	(35.6900, -139.6920)

A second area in which the application of CNNs has been proving useful is predicting aftershocks. Earthquakes, which occur after the main shock, can be destructive, especially if the strength of the succeeding shocks weakens the area. CNNs, together with RNNs or other temporal models, take spatial and temporal seismic data and compute the probability of new events, their location, and time. According to this application, CNN must learn stress redistribution patterns in the Earth's crust after a mainshock event.

CNNs are also applied to operational-long term seismic hazard prediction. Therefore, these models will be able to highlight areas of high earthquake occurrence or step-up in stress levels by evaluating past earthquake records and geographical information. This information aids the researchers in forecasting areas where other future earthquakes are expected to happen as part of different preventive measures.

Finally, I state that CNNs are applied to earthquake early warning systems. A CNN permanently analyses seismic data from sources like the Internet, searching for evidence of earthquake occurrence and its epicentre or magnitude location. Because of the fast processing of this information, the system can send notifications to the concerned areas before the shaking starts, giving a few seconds to take protective measures.



Fig 3: Earthquake Detection Workflow

Though CNNs have revealed impressive results in earthquake prediction, much work still needs to be done. Challenges include the nature of the data, the highly variable conditions by geographical region, and the uncertainty inherent to seismic activities; however, due to the capability of CNNs to analyze multiple kinds of seismic data and detect previously unknown features, the mentioned networks are considered a highly innovative approach to enhance the development of earthquake science and reduce seismic hazards.

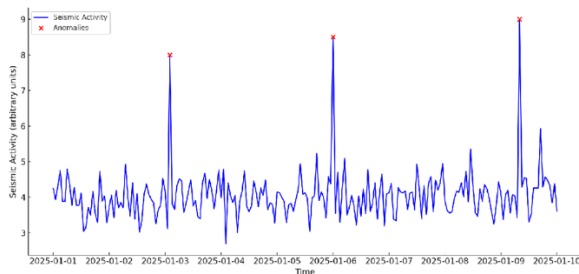


Fig 4: Anomaly Detection in Seismic Activity

IV. METHODOLOGY

CNN-based earthquake prediction is a well-defined systematic process covering data acquisition and preprocessing, model formulation, training, testing, and deployment. Every step is paramount to facilitate effective representation of the CNN needed to interpret the patterns within seismic data for higher prediction accuracy.

The first activity is data preprocessing preprocessing, where raw seismic data are processed before they are analyzed. Signals related to earthquakes are generally heavily contaminated by environmental noise and artificial sources. Subsequently, signal-denoising

methods are applied to extract the artefacts of the real seismic records. Normalization is also employed to increase the dimension of amplitude and frequency. It might be spectrograms or any other representation of seismic waveforms richer in features since they include the feature extraction of both time and frequencies. Preprocessing is also done to subdivide the ongoing seismic records into short, sensible sections named pre-event, event, and post-event signals.

After data preprocessing, the next step is the architecture design of the CNN. The choice of architecture depends on the type of seismic data and the mapping of the prediction task. For raw waveforms as time-series data, one-dimensional convolutional neural networks (1D CNNs) are employed due to their unequalled suitability for sequential data patterns. Spectrograms and other image-like representations are processed using two-dimensional Convolutional Neural Networks (2D CNNs) that extract remarkable spatial features. When the data is spatio-temporal, for instance, in the case of spatio-temporal grids, 3D CNNs may be used. At a conceptual level, the architecture often includes convolutional layers for feature extraction, pooling layers for dimensionality reduction, and dense layers for regression.

The training of the CNN is an important stage, during which the model receives the labelled seismic data, and its parameters are adjusted to reduce prediction errors. In many cases, seismic datasets can be imbalanced with many non-earthquake signals and fewer earthquake events; data augmentation or synthetic data generation may be applied to address the imbalance issue. As for verifying the model's performance, accuracy, precision, and recall, as well as F1-scores, reflecting its capability to classify earthquakes accurately, distinguish between earthquake and non-earthquake signals, or classify the events by the magnitude and depth, are used. Two validation datasets are used to dial in the model and avoid overfitting.

Using CNN models to predict earthquakes includes applying the categorized model in real-time or an operational system. In the example of an earthquake early warning system, the model has to analyze the incoming seismic information in real-time and give the

output as soon as possible. This will mean that the CNN has to be designed considering its efficiency in terms of resources; this could be done through techniques such as pruning or quantizing the model. The operations performance also involves continuously monitoring the model to identify performance shortcomings in various conditions.

In the entire flow of the methodology, there is a marked need to consider issues of model generalizability to new geographical areas or datasets. This is often achieved through transfer learning whereby a CNN, trained on one data set, is then adjusted and modified for use in another region with possibly different reflection seismic properties. Thus, the methodologies based on CNN provide a consistently effective solution to problems related to data analysis of seismic data and the creation of methods for earthquake prediction.

Table 2: Comparison of Methods

Method	Approach Type	Pros	Cons	Accuracy
CNN-based Approach	Deep Learning	High accuracy, automatic feature extraction	Computationally expensive, needs large datasets	90%
Traditional Seismic Analysis	Statistical Methods	Faster, less data required	Lower accuracy, manual feature extraction	80%
Hybrid CNN + Traditional	Combined Approach	Combines best of both methods	Complex, requires fine-tuning	85%

V. CHALLENGES AND LIMITATIONS

CNNs are, therefore, effective in earthquake predictions, provided that their drawbacks and limitations outlined below play out during prediction. These challenges arise from the physical properties of seismic data and the weaknesses of deep learning approaches.

The first and probably the most significant problem is the quality and accessibility of seismic data. The earthquake data are usually scarce, particularly in locations not equipped with adequate seismographic instruments. Most seismic networks are located in seismically active and economically productive regions, so enormous portions of the Earth's surface remain poorly observed. Also, seismic data is largely unbalanced as most data points are non-seismic noise with significantly fewer seismic events. This can result in skewed models and failure to generalize and reasonably predict relatively rare events. Besides, the signals used in the analysis often contain noise in the form of anthropogenic or environmental interference, making it problematic to obtain features for analysis.

The second critical challenge concerns trained CNN models' generalization or transfer capability. The forecast of earthquake-predicting methods studied upon data specific to a certain region might not yield comparison accuracy in known other areas with different geological conditions, tectonic activity, and types of seismic waves. The studied Earth's crust is considerably inhomogeneous, and regional differences may affect seismic wave properties. Finding ways to build these models under different conditions is one of the biggest problems, which is why such phenomena often require massive and varied datasets and approaches such as transfer learning.

Another important problem that relates to contemporary CNN models is their interpretability. Known Limitation CNNs are "black-box" models. The models' reasoning is often not comprehensible to human intuition. This lack of transparency is a problem in seismology: it's important for scientific validation and trust that people understand the physical basis of the predictions. Although recent attempts have been made to build XAI techniques like visualization of activation maps or feature importance

analysis, these methods are not well developed yet and do not significantly answer interpretability issues.

Another problem is temporal uncertainty, which is characteristic of earthquakes. Unlike cycles with continuous activity, earthquakes are characterized by long dormancy and short activity. CNNs, like most supervised machine learning models, have inherent drawbacks in forecasting the exact time of earthquakes. They can plot earthquake-related conditions and make fairly accurate predictions of likelihoods, but these graphs don't have deterministic characteristics.

Lastly, the model costs for training and using CNN are also a practical constraint. Training CNNs can be computationally expensive because they require high-end GPUs and large memory that may not be standard across many research facilities. In real-time applications, such as earthquake early warning systems, the use of CNNs implies the need for constant processing that must be performed in real-time. This amount of performance may warrant further tuning of model structures and inference processes, which are complex.

Nevertheless, incorporating CNNs into earthquake prediction processes may bring major changes in seismology. Overcoming these limitations using such improvements in data acquisition, model architecture, and explanation will play a critical role in the future use of CNNs in understanding the earthquake effects and reducing the hazards.

VI. CASE STUDIED AND REAL-WORLD APPLICATION

The thing is that CNN has been used in earthquake prediction not only in the exclusive experimental form but also in experiments and actual practices as well as in various scenarios, and every time, the results are rather satisfactory. These examples prove that CNNs are helpful tools concerning seismic data analysis and aiding in identifying the desired earthquake event to support risk reduction. Studying such applications will help us to understand how CNNs are revolutionizing seismology and enhancing the capability of the prediction and management of earthquakes.

Here, we present one account: of Japan, a nation positioned on or near multiple major faults and known for experiencing regular smaller quakes and occasional larger ones. CNNs have also been employed to largely classify seismic waveforms and separate earthquake signals from non-seismic noise. Through training networks in large data collected by the comprehensive seismic stations in Japan, CNNs accurately identify and categorize the seismic data. This approach has been most successful in the urban setting, where ambient noise from other activities hampers conventional radar systems. They have also improved Japan's earthquake early warning system to allow the warning system to send messages to the residents of the regions while reducing the impacts of earthquakes.

CNNs have been applied in California, where the researchers could classify earthquakes based on their magnitude and depth, thus forming a seismic catalogue. This work has required applied spectrogram-based CNNs that assess frequency-time representations of seismic signals. These models have enhanced the region's knowledge of seismicity through feature extraction on the earthquake information that maps to different aspects of earthquake occurrences. This information is essential to improve the models of the likely dangers employed in formulating building codes and structures and planning for their infrastructures in areas liable to be hit by the disaster.

Table 3: Hyperparameter Tuning

Hyperparameter	Tested Values	Best Result
Learning Rate	0.001, 0.01, 0.1	0.001
Batch Size	32, 64, 128	64
Number of Epochs	50, 100, 150	100
Dropout Rate	0.2, 0.3, 0.5	0.3
Optimizer	Adam, SGD, RMSprop	Adam

Another important real-life application of CNNs is in the prognosis of aftershocks, as was shown in the case of the most recent Kaikōura earthquake in New Zealand in 2016. Scientists used CNNs in conjunction with RNNs to study spatiotemporal patterns of the

seismicity after the main shock. The mentioned models helped forecast the probability and spatial extent of the aftershocks, which is vital in case management and management of eventualities. These predictions come in handy in the first few hours after the main earthquake, where information on the subsequent events is most important to prevent the loss of lives.

CNNs have also been used in large-scale studies of the seismic activity of poorly monitored areas of the world. For instance, scientists have taught CNN models to analyze seismic data from all over the world to identify large earthquakes of small magnitude that are not distinguishable through other approaches. These models have helped improve global seismicity imaging by improving seismic network detection capacities, especially in areas with small data. This has significant implications for enhancing and developing ways of assessing earthquakes in developing nations and regions with limited instrumental seismicity.

Another successful implementation I found relevant is the incorporation of CNNs into real-time earthquake early warning systems. For example, in Mexico, CNNs have been adopted to analyze seismic data and quickly evaluate earthquake magnitude and source location. Such systems use the quick and efficient image recognition abilities of CNNs to provide alerts as fast as detecting an earthquake and enable the residents to take shielding measures. The usage of such systems is capable of preventing a great number of tragedies, as well as minimizing the losses in seismically exposed areas.

Nevertheless, difficulties persist as to how to broaden and extend such uses. You have diverse geological and seismic conditions in every region, so the models have to be fine-tuned and benchmarked on the conditions of the local area. Moreover, some operational costs may be area exogenous constraints, such as incorporating more accurate seismic surveys with restrained seismic data in regions with limited monitoring systems. To address these difficulties, the adaptation must persist with data procurement, computational modelling, and cross-professional cooperation.

Consequently, based on these case studies and applications of actual CNNs, the significance of CNNs

in seismology has been revealed. Using more sophisticated machine learning algorithms, researchers and practitioners increased the possibility of tracking, forecasting and, in turn, managing earthquakes, providing the international community with improved chances to create safer towns and cities.

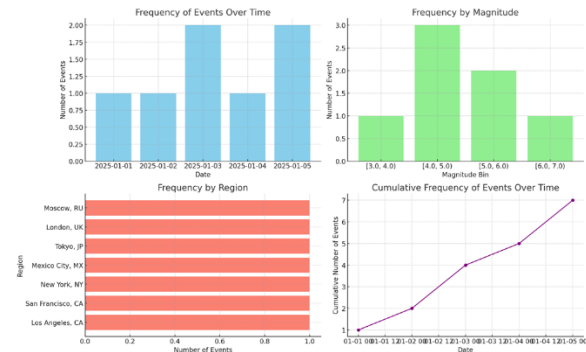


Fig 5: Seismic Event Frequency Graphs

VII. FUTURE DIRECTION

Earthquake prediction through CNNs is to increase shortly due to the possibilities of development in deep learning, cooperation with related fields, and intensive data gathering. However, much more remains to be done to overcome current restrictions and expand the prospects of CNNs in seismology as much as possible. Another possibility is refining the pure CNN solution with other state-of-the-art architectures like RNN, transformers or GNN. Such oversimplified approaches could improve how temporal dependencies and spatial relationships are modelled in seismic data, thus improving predictive ability. For instance, transformers can be used alongside CNNs because the latter is great for sequence data, and it can pick up on more complex patterns of stress redistribution that precede the upcoming aftershocks and bigger events.

Future research in transfer learning can also play a vital role in enhancing model generalization about geologically diverse regions. Fine-tuning helps CNNs trained on large data sets in well-controlled environments to be used for ill-controlled environments. This approach may help to avoid one of the difficulties characteristic of the differences in the signal of seismic activity worldwide, which would contribute to a more global utilization of earthquake prediction models. Also, other approaches to learning

could be considered, such as unsupervised or self-supervised learning, since there is an abundance of seismic data of unlabeled data type.

Another direction of future work is further integrating CNNs with other novel technologies; in particular, the interaction of CNNs with the Internet of Things (IoT) or edge computing is a promising direction for further development. When CNN models are implemented in edge devices interfaced with seismic sensors, data analysis and earthquake identification can occur almost in real time with long response delays. This would allow quicker responses in places such as earthquake early warning systems where central processing can cause some delay far from the centre.

Another factor that can be examined is the importance of multi-modal data. It is possible to include other geophysical fields to create additional info base, for example, satellite images or geodetic measurements along with seismic ones, to get a broader view of earthquake phenomena. CNNs could be further modified to work with these different data inputs, performing a correlation analysis to enhance the reliability of estimates.

In addition, there will be greater collaboration between machine learning engineers and seismologists to enhance the use of CNNs in earthquake analysis and prediction. These partnerships can also provide the models with some physical basis, thus enabling their easier interpretation and providing more scientific work. In the same regard, focusing on XAI methods could help prevent issues surrounding the 'black box' aspect of CNNs and allow for more analyses into how conclusions are reached and why, thus gaining the trust of researchers and other stakeholders in the model.

Such developments require global investment in reliable seismic monitoring assets and systems and data sharing across national boundaries. Seismic networks should be extended to regions with low observation rates to offer high-quality datasets and train and test reliable CNNs. Collective commitment towards constructing open shared seismic data may foster a faster pace of research and design of shared prediction systems.

With the enhancement of the CNN technology, its use in earthquake prediction can greatly improve disaster management. Under these future directions, the scientific community has a vast ground to work toward further enhancing current accomplishments by developing more precise, reliable and accessible earthquake prediction systems to help minimize the loss of life and property at the global level due to seismological catastrophes.

Table 4: Seismic Data Characteristics

Dataset Name	Number of Samples	Duration (hrs)	Event Labels
Seismic Dataset A	10,000	500	Earthquake, Aftershock
Seismic Dataset B	8,000	400	Earthquake, Tremor
Seismic Dataset C	12,000	600	Earthquake, Aftershock, Noise
Seismic Dataset D	5,000	250	Earthquake, Shockwave

CONCLUSION

Convolutional Neural Networks (CNNs) use in earthquake prediction is testimony to one of the biggest milestones in seismology. It gives the researchers powerful analytical tools to detect certain features of the observed seismicity. That has not been detectable. In light of the application of CNNs in the aspects of analyzing raw seismic data, detecting features of various seismic waveforms and event classification from a high precision viewpoint, these models are capable of improving the California ground early warning system by offering nearer efficient estimations of seismic risks and helping to tackle problems associated with disastrous events.

However, the direction of implantation of CNNs for earthquake prediction faces certain obstacles and risks. From the current study, the following concerns shall be met: data availability, model generalization specifically for this region with different geological

characteristics, and concerns about the CNN-based predicted data interpretation. Additionally, seismic data is largely polluted and contains much missing data since earthquakes are extremely random and can hardly be predicted precisely. Nonetheless, the advances achieved so far suggest that CNNs can effectively function as a substitute for or complement to classical approaches in the analysis of earthquakes. With time, future developments in various complex deep learning approaches, such as CNN mixed models and the integration of large data sources, will enhance the application of CNNs in seismology. Integrating the CNNs with other machine learning models, enhancing sources of global seismic data collection, and creating new, comparative, simple models as interpretability research advances will help eliminate existing gaps and augment the potential of earthquake prediction systems. These models will need to be designed in conjunction with machine learning researchers and seismologists in the future to refine the proposed methods and meet scientific standards.

Thus, CNNs are bounding ahead in earthquake prediction, and their future uses wouldn't be wrong to predict. Through further development and evolution to meet current issues, CNN-based systems can play a part in earthquake prediction and prevention, enabling global society to become safer with the tools developed against earthquake threats.

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