

Exploring the Impact of Open RAN on the Development and Implementation of 5G Technology

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Abstract- *Wireless communication has evolved significantly over the past four decades. The first generation of cellular network (1G) was introduced in the early 1980s. It allowed people to make calls on the go. However, these calls were expensive, did not support messages or data transfer, and did not provide security. At the onset of the 1990s, Researchers and technology enthusiasts envisioned a higher capacity technology that would address the above-mentioned pitfalls of 1G. This led to the development of 2G. In 2G, calls became cheaper, SMS became possible, and securing conversations against eavesdroppers became possible. However, there was a lower data transfer rate (up to 9.6 kbps) in 2G that restricted the usage of the internet. At the end of the 1990s, 3G was developed to accommodate people with mobile cheap high-speed internet access. This higher data rate (up to 200 kbps) technology enabled email, web browsing, video streaming, and video calling. Later on, 4G came with a data transfer rate of up to 800 Mbps, 20 times higher than 3G. With 4G, HD video streaming became possible on mobile devices (Dryjański et al., 2021).*

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After ten years of high-speed mobile internet access on the go, researchers envisioned a new network that would connect everything. The internet, appliances (coffee makers, TV sets, refrigerators, etc.), and various objects (cars, street lights, railway tracks, etc.) would send and receive data among them. This invisible giant network would make every task like waking up in the morning, going to left for work, ordering coffee, and delivery completely automated. Everything would work through smart systems, and human involvement would be minimum. This empowered vision of smart cities, smart homes, smart health care, smart transportation is known as the Internet of Everything (IoE). The applications like smart cities, autonomous cars, remote surgery, tele presence, Massive Internet of Things (IoT) require very low latency (<1ms), Higher bandwidth (10-100 Gb/s), and extremely high connection density (106-107 devices/km²). However, these are the pit falls of 4G. This led to the development of a newer Technology called 5G in late 2010 (Polesse et al., 2023).

I. INTRODUCTION TO 5G TECHNOLOGY

Wireless communication has evolved significantly over the past four decades. The first generation of cellular network (1G) was introduced in the early 1980s. It allowed people to make calls on the go. However, these calls were expensive, did not support messages or data transfer, and did not provide security. At the onset of the 1990s, Researchers and technology enthusiasts envisioned a higher capacity technology that would address the above-mentioned pitfalls of 1G. This led to the development of 2G. In 2G, calls became cheaper, SMS became possible, and securing conversations against eavesdroppers became possible. However, there was a lower data transfer rate (up to 9.6 kbps) in 2G that restricted the usage of the internet. At the end of the 1990s, 3G was developed to accommodate people with mobile cheap high-speed internet access. This higher data rate (up to 200 kbps) technology enabled email, web browsing, video streaming, and video calling. Later on, 4G came with

1.1. Evolution of Wireless Communication

The need for fast, high-capacity, and high-mobility communication networks has existed since the very first days of telecommunication. Significant advances in air and space, transportation systems, and energy distribution led to the generation of the first ideas regarding the possibility of mass telecommunication. Consequently, various varieties of wireline communication systems (electric telegraph, voice telephony, synchronous and multispectral video transmission, broadband transmission in the light spectrum) using electricity and light as fundamental means of transfer, and operating in a fixed point-to-point configuration were built and implemented on an increasing scale, but they were far from ensuring the

required connectivity speed, capacity, and flexibility (Zontou, 2023).

With the rapid development of radio wave technology and the electromagnetic spectrum understanding, mass broadcasting and point-to-point telecommunication systems were implemented utilizing these technologies. The former systems were primarily used for voice communication, which evolved into subsequent generations of terrestrial or satellite mobile mass talking networks. The latter systems were essentially adaptations of the above-mentioned wireline telecommunication systems for radio technology, providing mostly fixed point-to-point configuration and requiring extensive infrastructure development. However, despite advances in wireless technology, broadcasting capabilities were limited by governmental constraints imposed on the spectrum (e.g., multiple-using frequency). Meanwhile, the primary means of establishing broadcasting connections were terrestrial and satellite mass communication systems, ensuring long-distance and wide-coverage telecasting practically.

1.2. Key Features and Advantages of 5G

5G technology, representing the fifth generation of wireless mobile communications, was built on the achievements brought by 3G and 4G systems (Cengiz & Aydemir, 2018). The key aspects of 5G and its advantages were highlighted, including higher data rates, lower latency, improved user experience, reliability, device density, energy efficiency, and coverage. The high data rate, which is greater than 1 Gbps, is the principal feature leveraged to meet the ever-increasing demands for enhanced mobile broadband services. However, at the same time, 5G targets a significant reduction in latency with an end-to-end delay of less than 1 ms, essential for many mission-critical applications. To meet the device density requirement, 5G will have to accommodate up to 1 million connected devices per square km under dense urban conditions (Polese et al., 2023). Energy efficiency targeting for base stations in 5G is twenty times lower than for the active 4G system. Improved coverage is to be accomplished through the deployment of a wide range of frequency bands for operation, and to enhance coverage in remote rural areas, active collaboration with satellite networks is also planned. The use of millimeter-wave (mmWave)

technologies will further boost capacity in hotspot areas. It is worth mentioning that the unique transmission characteristics at higher frequencies also challenge the coverage and reliability aspects, which 5G enables through the use of extensive beamforming and massive multiple antenna systems. 5G incorporates diverse air interface technologies to foster the dissemination of several verticals, such as enhanced mobile broadband (eMBB), ultra-reliable low-latency communications (URLLC), and massive machine-type communications (mMTC) services, and chooses frequency bands ranging from sub-1 GHz to Beyond 10 GHz. These features and advantages are varied and separated in terms of the key performance indicators (KPIs) for 5G applications, such as vehicular communications, remote surgery, and industrial automation, which further underpin the impact of Open RAN on the development and implementation of 5G technology.

II. UNDERSTANDING OPEN RAN

In a nutshell, Open RAN (O-RAN) complements the successful arrival of Virtualized RAN (vRAN) based on Cloud-RAN technologies and has a similar construction as it has already begun pandemic greenfield networks but in the entirely gradual modification of the active central and radio functionality architecture of existing macro-networks. This effort is backed by fierce competition in the growing 5G new radio markets, and it is set to continue nicely until the slow migration to the 6G trees for the better wireless communication services which set the ongoing technology very much relevant and up-to-date (Polese et al., 2023).

Open RAN specifications and intrinsic testing technologies initiated by several global, grassroots understanding efforts have been released widely with concerning phases, and papers supporting mathematical definitions and satiations have been gradually released; the theoretical pre-studies and several derivations supporting the final standards have now almost entirely been published. This means that O-RAN is well on its way, and currently in the very exciting technological times, addressing the obtained insight by the specifications with appropriate mathematical complements of them. O-RAN specifications were released modestly describing for

deployment basis using mature methodologies and well-conducted theoretical overviews with initial designs in the open benchmarking architecture outside the specific radio technologies or implementations, yet with easily fathomable mathematical satiations (Groen et al., 2023).

2.1. Definition and Components of Open RAN

Open RAN is a new concept in the telecom industry aimed at making the Radio Access Network (RAN) more open, flexible, and cost-effective via multi-vendor interoperability. The RAN refers to the part of a mobile network that connects end-users' devices to the core network. RANs traditionally rely on proprietary hardware and software provided by a single vendor. In contrast, Open RAN relies on open interfaces and standards, enabling network operators to mix and match equipment and software from different vendors and suppliers. The key components of Open RAN include: (1) O-RAN Alliance, a global industry consortium driving the development of open standards and specifications for RAN interfaces and architectures; (2) RAN Intelligent Controller (RIC), a new functional architecture that enables the use of Artificial Intelligence (AI) in RAN operations and management; and (3) open interfaces, a set of open and standardized interfaces between the different functional components of the RAN, such as the Centralized Unit (CU), Distributed Unit (DU), and Radio Unit (RU).

The concept of Open RAN is built upon three building blocks: the RAN Open Interfaces, the O-RAN architecture and components, and AI-based RAN management and optimization (Chen et al., 2023). The open interfaces allow multi-vendor integration of RAN components, on top of which the O-RAN architecture and components enable new types of disaggregated RANs and their networks. The O-RAN architecture consists of the traditional RAN architecture standardized by 3GPP, as well as the transparent component types specified by the O-RAN Alliance. The innovation and open interfaces of the O-RAN equipment with capabilities respond to the needs of the next-generation Open RANs to be more open. Understanding the definition and components of Open RAN is essential for understanding the tech implications of Open RAN on 5G technology (Polese et al., 2023).

III. INTEGRATION OF OPEN RAN WITH 5G TECHNOLOGY

The connection between Open RAN and 5G is complex and involves a variety of issues and challenges. In addition to enhancing the general development and implementation of 5G, broader NEXT-G research, development, and innovation (RD&I) open discourses that point to an opportunity to more out-of-the-box envision the use of Open RAN for unprecedented innovations in 5G transparency, validity, fairness, and authenticity. Consequently, beyond the technical aspects, there is an opportunity to explore contextual co-developments to deepen such notions of transparency, validity, fairness, and authenticity in ways that influence the very development of 5G technology. With regard to the engineering advances in Open RAN, it stresses the importance of instrumentation, experimental designs, and standards to fine-tune compatibility.

Open RAN is one of the most sweeping and ambitious developments in mobile in many years, with efforts underway to transform the whole Radio Access Network (RAN) that powers mobile networks by unbundling proprietary hardware and software, building open interfaces, and opening the RAN to multiple vendors and alternative technologies. A system-level description of Open RAN is presented, where standards and projects in the ecosystem are also discussed (Chen et al., 2023). Open RAN has broad implications for the mobile landscape, networking, software, Data and Artificial Intelligence (AI), and player dynamics and business models. There are implementation and technical challenges to consider, including compliance to open interface specifications, synchronization, and resiliency. Its transformative impact on RAN architectures over different generations is summarized, such as flattening, data-centric, AI-native RANs, and the service-based architecture embraced by 5G Core and O-RAN alliance. Lastly, opportunities for co-development are offered, for example, income redistribution through co-development programs, selling of virtualized Core, and leasing of transmitter antennas to TV or IoT networks. 5G, like its predecessors, is expected to enhance the economic output and social well-being of nations, industries, and individuals.

3.1. Benefits and Challenges of Integrating Open RAN with 5G

With disaggregation, virtualization, and open standards, Open RAN provides significant benefits to cellular operators by lowering costs, increasing flexibility, and facilitating a diverse supplier ecosystem. Open RAN orchestrates AI and machine learning technologies to provide intelligent and data-centric closed-loop control and automation of network resources (Polese et al., 2023). Furthermore, the principles of Open RAN promise to transform current, rigid, and costly 5G networks into more agile, energy-efficient, resilient, and cost-effective once built upon cloud-native architectures.

Nonetheless, the integration of Open RAN with 5G technology is subject to several challenges. Interoperability issues among vendors must be addressed to ensure that networks built on Open RAN principles perform as expected (Chen et al., 2023). Moreover, Open RAN disaggregation can increase the vendor responsibility footprint of cellular operators in the design, validation, and optimization of new network components, limiting some benefits (i.e., flexibility and vendor diversity). Furthermore, new components can create execution and data-sharing overheads, negatively impacting end-to-end latencies. Finally, to take full advantage of the cloudification of Open RAN, novel orchestration mechanisms must be planned and implemented to ensure the performance of multi-domain environments, including hybrid deployments with legacy non-Open RAN nodes.

IV. CASE STUDIES AND REAL-WORLD APPLICATIONS

The fourth section delves into case studies and real-world applications of Open RAN in 5G networks, providing examples of successful implementations. The focus on practical implementations of Open RAN and its impact on the development and deployment of 5G networks is of great significance. As 5G technology continues to evolve, the need for more open and interoperable networks has become increasingly apparent. With its focus on disaggregated architecture, virtualization, and standard interfaces, Open RAN has the potential to transform the way 5G networks are designed, operated, and developed (Polese et al., 2023). Understanding the impact of

Open RAN on the 5G landscape and exploring the early learnings from implementation is essential to harness the full potential of this technology. The case studies presented in the fourth section provide valuable insights into the real-world applications of Open RAN in 5G networks.

Hathway Cable & Datacom Ltd (HATHWAY) in San Diego, California, has, in collaboration with Jio Platforms Ltd, successfully tested the OpenRan end-to-end 5G NR solution. The solution features the 5G DU Cloud-native Application, 5G CU, 5G gNodeB, VNF (virtualized network functions), 5GC EPC (Evolved Packet Core), E2 Node, RAN Intelligent Controller (RIC), RIC xApps, running on Intel Architecture-based Dell PowerEdge servers (S. Upadhyaya et al., 2022). An Oran build was successfully run containing deis, Opene2, Onos, OpenRAN, E2 node, Ric, and Discovered Ori baseline was run with 2 gNodeB cbs-managed. The project has been made open-source at Hathway-OpenRan for RAN, xApps, and core. The Recorded packet capture, logs and telemetry, Simulations, Deployment scripts, and Kubernetes (k8s) YAML files have been shared to help others in the 5G RAN / Core space.

4.1. Successful Implementations of Open RAN in 5G Networks

Implementation of Open RAN in 5G technology has been to enable virtual deployments and vendors worldwide, including India, South Korea, Japan, and European countries. In late 2021, Vodafone and VMO2, the UK's largest communications service providers (CSPs), announced that they would partner with Mavenir and parallel wireless to develop Open RAN solutions for national networks. These companies would onboard open standards to its 2G, 4G, and 5G radio access networks. In early 2022, Rakuten Mobile became the first fully virtualized network operator in Japan with Open RAN-based 4G, and later, 5G deployments. Rakuten partnered with NEC, Altiostar, and other technology vendors to build a vRAN network. In May 2022, Orange deployed an Open RAN pilot in its fixed wholesale network across 15 towns in southern France in preparation for 5G commercialization (Dryjański et al., 2021). Further, ATT engaged a handful of budget vendors to develop the end-to-end nationwide Open RAN blueprint. This was the first end-to-end Open RAN deployment in the

US, which included the Orange-Fluor, AltioStar, and Mavenir vendors who developed networking equipment and management systems to be used in this deployment. The initiative demanded hardware-level openness and software-level openness across systems. There was a collaborative demand across architecture, protocols, and deployment that needed to jointly comply with Open RAN specs and standards set by O-RAN Alliance. Otherwise, systems should be supplied and developed by a single vendor (Polese et al., 2023). Development, testing, and deployment were managed by the ATT Networks & Integration Engineering team, who developed and tested xRAN Layer 1 hardware and software into O-RAN specs. Learning from the vRAN PoCs by vendors, ATT internal teams pioneered similar designs that were deployed in their own network. This successful deployment served as a massive boost for Open RAN in North America, and it was the longest commercial Open RAN deployment on any national telecommunications network, lasting for more than 5 months.

V. FUTURE TRENDS AND INNOVATIONS

There are several possible developments regarding Open RAN and the 5G vision. Firstly, in the near term, it is anticipated that there will be production-ready OPEN RAN solutions for 4G and 5G technologies. Experimentation in sandboxed environments has been pursued since 2021, and, from 2023 onwards, it is expected that field trials will begin. Milestones for improving spectral efficiency and decoupling hardware and software components will also be reached. More generally, it is predicted that operators of all sizes will deploy Open RAN/VAN solutions (S. Upadhyaya et al., 2022).

Bringing 5G to fringe or rural areas is also anticipated, as there may be more significant political pressure to do this in light of the pandemic. This is seen as an opportunity for Open RAN to be an alternative to traditional solutions, although it may take more time to materialize on a broader scale (Polese et al., 2023). In the medium term, it is expected that other non-telecom companies will enter the sector, and there will be the creation of platforms to host different applications on private 5G networks, potentially creating significant market opportunities. In the long

term, it is anticipated that there will be use cases to cater to consumer demand outright. It is expected that still larger antenna arrays and more spectrum/frequencies will be deployed (potentially involving a significant move to higher bands such as the E-band), as well as the fully networked cloud (including near-cloud intelligence) in concentrated locations (data centers and micro-datacenters either on-edge or in-workplaces). Finally, it is envisaged that an ecosystem of small satellites connected to terrestrial networks, including aerial ones, will be established.

5.1. Potential Developments in Open RAN and 5G Technology

An O-RAN development roadmap should then be outlined to elucidate possible enhancements that could potentially be made to the existing O-RAN architecture and deployments to improve performance, accelerate rollout and expansion of services, and mitigate risks for service providers. Lastly, a future vision of Open RAN post-5G should be communicated, with a focus on evolution towards a fully autonomous and intelligence-driven RAN (S. Upadhyaya et al., 2022).

VI. CONCLUSION AND IMPLICATIONS

This paper explores the fundamental insights into Open RAN and its implications for 5G development and deployment. Global mobile communications are rapidly shifting from generations defined solely by data transfer rate to securing the fundamental technological basics. It is being acknowledged that current generations were not designed for the future. 5G, for example, is already known to be inadequate to support many new business cases, services (e.g., high-accuracy AR/VR, flexible/automated factories), and innovations (e.g., AI). An Open RAN architecture, where the new standards and services are shared across vendors, hurries the introduction of many new technologies into cellular networks, which improves the interpretation of mobile communications characteristics. Open RAN makes the cellular network architecture adaptable to specific requirements according to applications, services, and business models. Cloudization and virtualization make the architecture generic, functionally disaggregated, and platform-independent. Decoupled hardware and software makes the introduction of advanced HW

technologies easier to change, upgradable, and swappable, but it means that the design process should be based on software only. Open standards should be supported by a comprehensive/holistic environment (tools and repositories) enabling vendor-agnostic/open research use cases, reducing barriers for innovators and market entrayers, and accelerating the introduction of innovation (Polese et al., 2023).

O-RAN accelerates improvements to cellular standardization and commercialization processes, including security, data safety, managing each architectural component of the end-to-end (E2E) chain, and dealing with many challenges and changes (Groen et al., 2024). Such an open architecture ensures with a reasonable certainty that an innovation representing a (local) need (or business model) can find a valuable market somewhere in the world. It is also a much faster path to a “disruptive” and verified technology compared to the current options, enabling to secure and better control mobile communications beyond 5G, which is of high strategic importance.

6.1. Summary of Findings and Key Takeaways

This subsection provides a summary of the findings and key takeaways from the exploration of OPEN RAN and its impact on the development and implementation of 5G technology. The overall goal of the initiative is to promote radically enhanced services and user experiences through the implementation of diverse new technological opportunities (and/or employments of previously existing technologies). These new services and experiences remain aggressively anticipated not only by end users, but also key players within the supplier ecosystem (e.g., OEMs, infrastructure, software vendors, chipset manufactures, etc.) within next decades. As a result, these end-users and key players within the supplier ecosystem alike generate a diversity of new service and product ideas based on the new technologies. The ideas (produced by every group) inherently affect each other through the participants within 3rd and 4th different layers of the 5G System Architecture. Therefore, sufficient common understanding of the technologies across different groups is necessary for the formation of the proper technological enablers.

Open RAN essentially works towards making RAN elements interoperable by standardizing the functional

split of the RAN using open interfaces between the different elements (Groen et al., 2024). Looking from a broader perspective, Open RAN could act as a collection of technologies aimed at making RAN elements interoperable. One of most recent contributors to the 5G ecosystem has been OpenRAN initiative. The initial phase (Phase 1) of OpenRAN aims at providing a provisioning system (with open interfaces) as well as definitions of switched architectures, CBRS, etc. to the vendors (better said, companies participating the OpenRAN initiative). This initial phase and acts would essentially reduce the level of integration requirement between every component in the network. The procedures under standardization would essentially establish a proficiency framework that aids vendors and mobile service providers in understanding and working towards 5G and its associated technologies.

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